

PART III - LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J – LIST OF ATTACHMENTS

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SECTION J – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

ATTACHMENT J-1 – REQUIREMENTS SOURCES AND IMPLEMENTING DOCUMENTS (LIST A)

Pursuant to Section I clause 970.5204-2 Laws, Regulations and DOE Directives (Dec 2000), DOE may provide a list of laws and regulations (List A) applicable to work performed under this contract. The Contractor shall comply with all applicable Federal and State Laws, Statutes, Codes, Rules, Regulations, and Orders; Executive Orders; Consensus Standards; and agreement documents applicable to work performed under this contract. The federal laws and regulations listed in the table below contain requirements normally relevant to the Contractor scope of work. These laws and regulations, and others, apply regardless whether they are explicitly stated in the Contract. In addition, laws and regulations typically apply to all persons or organizations such as subcontractors, suppliers, and federal employees.

This list does not have to be provided in the Contract, but it may be appended to the Contract for information purposes. Omission of any such applicable law or regulation in from List A does not affect the obligation of the Contractor to comply with such law or regulation pursuant to DEAR clause 970.5204-2. The Contractor must be aware of changes in the Code of Federal Regulations (CFR), Federal Acquisition Regulations (FAR), the United States Code (USC), Public Laws (PL) or other regulatory entities that have applicability to the Department of Energy and that impact the work scope. The Contractor will notify DOE of any changes, and DOE will make a determination regarding modification to the contract.

The following table does not contain any specific state laws, regulations, permits, and licenses, etc.

Regulation	Regulation Title
10 CFR 20	Standards for Protection Against Radiation
10 CFR 820	Procedural Rules for DOE Nuclear Facilities
10 CFR 824	Procedural Rules for the Assessment of Civil Penalties for Classified Information Security Violations
10 CFR 830	Nuclear Safety Management
10 CFR 835	Occupational Radiation Protection
10 CFR 850	Chronic Beryllium Disease Prevention Program
10 CFR 851	Worker Safety and Health Program
29 CFR 1910	Occupational Safety and Health Standards - General Industry
29 CFR 1926	Occupational Safety and Health Standards - Construction
48 CFR Part 970.5203-2	Performance Improvement and Collaboration
48 CFR Part 970.5204-2	Laws, Regulations, and DOE Directives
48 CFR Part 970.5215-3	Conditional Payment of Fee, Profit, or Incentives (or alternatively, 48 CFR Part 952.223-76 or 952.223-77, Conditional Payment of Fee or Profit)
49 CFR Part 173.427	Transport Requirements for Low Specific Activity (LSA) Class 7 (Radioactive) Materials and Surface Contaminated Objects (SCO)
49 CFR Part 213	Track Safety Standards
49 CFR Part 237	Bridge Safety Standards
42 U.S.C. 2297h-8(a)	Employee Protections

ATTACHMENT J-2 – LIST OF APPLICABLE DOE DIRECTIVES (LIST B)

The contractor shall comply with the requirements of DOE Directives identified under List B – List of Required Compliance Documents included in this contract. Omission of any applicable directive from List B does not affect the obligation of the Contractor to comply with such directive pursuant to DEAR clause 970.5204-2. Requirements Documents of DOE Directives are applicable in whole or in part.

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Directive Title
O 110.3	Conference Management Contractor Requirements Document
O 130.1	Budget Formulation Process
P 141.1	Department of Energy Management of Cultural Resources
O 142.2A	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
O 142.3	Unclassified Foreign Visits and Assignments
O 144.1 (and associated Framework)	Department of Energy American Indian Tribal Government Interactions and Policy (and Office of Environmental Management, Office of Nuclear Energy, Office of Science, and National Nuclear Security Administration Deputy Administrator for Defense Programs Framework to Provide Guidance for Implementation of U.S. Department of Energy's American Indian and Alaska Native Tribal Government Policy)
O 150.1	Continuity Programs
O 151.1C	Comprehensive Emergency Management System
G 151.1	Hazards Surveys and Assessments
O 153.1	Departmental Radiological Emergency Response Assets
O 200.1A	Information Technology Management
O 205.1A	Department of Energy Cyber Security Management Program
M 205.1-3,	Telecommunications Security Manual
M 205.1-5	Cyber Security Process Requirements Manual
M 205.1-6	Media Sanitization Manual
M 205.1-7	Security Controls for Unclassified Information Systems Manual
M 205.1-8	Cyber Security Incident Management Manual
N 205.2	Foreign National Access to DOE Cyber Systems
N 205.3	Password Generation, Protection, and Use
O 206.1	DOE Privacy Program
N 206.4	Personal Identity Verification
O 221.1	Reporting Fraud, Waste, and Abuse to the Office of Inspector General

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Directive Title
O 221.2	Cooperation With the Office of Inspector General
O 225.1A	Accident Investigations
O 226.1A	Implementation of Department of Energy Oversight Policy
M 231.1-1A	Environment, Safety, and Health Reporting Manual
M 231.1-2	Occurrence Reporting and Processing of Operations Information
O 243.1	Records Management Program
O 243.2	Vital Records
O 350.1	Contractor Human Resource Management Programs
O 410.2	Management of Nuclear Materials
O 412.1A	Work Authorization System
P 413.1	Program and Project Management Policy for the Planning, Programming, Budgeting, and Acquisition of Capital Assets
O 413.1A	Management Control Program CRD
P 413.2	Value Engineering
O 413.3A	Program and Project Management for the Acquisition of Capital Assets
M 413.3-1	Project Management for the Acquisition of Capital Assets
O 414.1C	Quality Assurance
O 420.1B	Facility Safety <i>(Graded Approach* - WVDP has no active safety structures, systems, and components (SSCs).</i>
O 422.1	Conduct of Operations
O 425.1C	Startup and Restart of Nuclear Facilities
O 430.1B	Real Property Asset Management <i>(Graded Approach* – Site and facilities owned by New York State.)</i>
O 430.2B	Departmental Energy, Renewable Energy, and Transportation Management <i>(Graded Approach* - Site owned by New York State.)</i>
O 433.1A	Maintenance Management Program for DOE Nuclear Facilities
O 435.1	Radioactive Waste Management
M 435.1-1	Radioactive Waste Management Manual
G 435.1-1	Implementation Guide for use with DOE M 435.1-1
O 440.2B	Aviation Management and Safety <i>(Not applicable unless aerial photographing of the WVDP is required.)</i>

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Directive Title
P 442.1	Differing Professional Opinions on Technical Issues
O 442.1A	Department of Energy Employee Concerns Program
M 442.1-1	Differing Professional Opinions Manual for Technical Issues Involving Environment, Safety, and Health
O 450.1A	Environmental Protection Program
P 450.4	Safety Management System Policy
M 450.4-1	Integrated Safety Management System Manual
O 460.1B	Packaging and Transportation Safety
O 460.2A	Departmental Materials Transportation and Packaging Management
M 460.2-1A	Radioactive Material Transportation Practices
P 470.1	Integrated Safeguards and Security Management Policy
O 470.2B	Independent Oversight and Performance Assurance Program
O 470.3B	Graded Security Protection (GSP) Policy
M 470.4-1	Safeguards and Security Program Planning and Management
M 470.4-2	Physical Protection
M 470.4-3	Protective Force
M 470.4-4	Information Security
M 470.4-5	Personnel Security
O 471.1A	Identification and Protection of Unclassified Controlled Nuclear Information (<i>Applicability due to ORPS access and use.</i>)
M 471.1-1	Identification and Protection of Unclassified Controlled Nuclear Information Manual (<i>Applicability due to ORPS access and use.</i>)
O 471.3	Identifying and Protecting Official Use Only Information
M 471.3-1	Manual for Identifying and Protecting Official Use Only Information
O 481.1C	Work for Others (Non-Department of Energy Funded Work)
O 482.1	DOE Facilities Technology Partnering Programs
O 484.1	Reimbursable Work for the Department of Homeland Security
O 522.1	Pricing of Departmental Materials and Services
O 534.1B	Accounting
O 551.1C	Official Foreign Travel (<i>The CRD must be included in all site/facility management contracts that contain the clause at 48 CFR 952.247.70 Foreign Travel.</i>)

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Directive Title
O 580.1	Personal Property
O 1340.1B	Management of Public Communications Publications and Scientific, Technical, and Engineering Publications
O 1450.4	Consensual Listening-in to or Recording Telephone/Radio Conversations
O 5400.5	Radiation Protection of the Public and the Environment
O 5480.19	Conduct of Operations Requirements for DOE Facilities
O 5480.20A	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities
O 5480.4	Environmental Protection, Safety, and Health Protection Standards <i>[ALL EXCEPT: Attachment 2, paragraph 2c, 2d(2)-(3), 2e(1)-(8), and Attachment 3, para 2c, 2d(2)-(3), 2e(1)-(7) which are canceled by O 440.1)]</i>
DOE-STD – 1027	Hazard Categorization and Accident Analysis Techniques for Compliance with DOE O 5480.23
DOE-STD – 1134	Review Guide for Criticality Safety Evaluations
DOE-STD – 1158	Self Assessment Standard for DOE Contractor Criticality Safety Programs
DOE-STD – 1189	Safety Design Strategy
DOE-STD – 3007	Guidelines for Preparing Criticality Safety Evaluations at Department of Energy Non-Reactor Nuclear Facilities

* Graded Approach is defined as the process of ensuring that actions used to comply with a requirement are commensurate with (1) the relative importance of safety and safeguards and security, (2) the magnitude of any hazard(s) involved, (3) the life cycle stage of the facility, (4) the programmatic mission of the facility, (5) the particular characteristics of the facility, (6) the relative importance of the radiological and non-radiological hazards, and (7) any other relevant factor.

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ATTACHMENT J-3 – DELIVERABLES						
	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
LEADERSHIP/MANAGEMENT PLANNING						
1.	Semiannual Fee Invoices	Fee invoice	Section B.3	Semiannually, upon determination by the Fee Determining Official (FDO)	CO or as delegated	CO Approval
2.	Erroneous Payment Report	Report of erroneous payments that the contractor made to subcontractors and vendors for which DOE has paid	Federal Improve Financial Performance Initiative	Quarterly, by 25 th of the month after quarter ends	DOE-WVDP Budget Analyst	CO Information
3.	Unified Budget Call or other Budget Formulation Requests for Information	Crosscut or other data that may be needed to support annual budget formulation deliverables.	DOE O 130.1	As requested	DOE-WVDP Budget Analyst	CO Information
4.	Provisional Rates	Document rates and request changes	FAR Appendix 2, Preambles to CAS Rules and Regulations	As requested	CO or as delegated	CO Approval
5.	Citizens Task Force Meetings	Forum for stakeholder involvement in decision process	Section C.1.3.2; Section C.1.3.3; Clause H.19	Monthly	COR or as delegated	COR Information
6.	Annual Emergency Readiness Assurance Plan (ERAP)	Report annual accomplishments in Emergency Management and establish 5	DOE O 151.1B	Annually, by September 15 th	COR or as delegated	COR Review; CO Approval

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
	(including Drill/Exercise schedule)	year plan				
7.	Emergency Management Program Description	Provides the direction and approach to be used to minimize the impact of an emergency upon the health and safety of workers, the public and the environment and to limit loss or damage to property	DOE O 151.1C; Section C.1.1.1.2	Within 30 days of contract award	COR or as delegated	COR Review; CO Approval
8.	Annual Review/Update of Comprehensive Emergency Plan	Documentation of the comprehensive emergency management program.	DOE O 151.1B	Annually by December 31 st	COR or as delegated	COR Review; CO Approval
INFORMATION AND ANALYSIS						
9.	Annual Fire Protection Summary Report (electronic data submission)	Summary of fire-related incidents/activities occurring at the WVDP	DOE M 231.1-1A Chg. 2	Annually by March 31	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Information
10.	Individual Accident/Incident Reports (DOE F 5484.3) (electronic data submission)	Number of new and revised individual accident/incident reports	DOE M 231.1-1A	Quarterly 01/25; 04/25; 07/25; 10/25	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Information
11.	Tabulation of Work Hours and Vehicle Usage (electronic data submission)	CAIRS	DOE M 231.1-1A	Quarterly 01/25; 04/25; 07/25; 10/25	COR or as delegated	COR Information
12.	Annual Exposure Data Reporting	Radiation Exposure Information System Report	DOE M 231.1-1A; Section H.21	Annually, by March 31 st	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Information
13.	Occupational Radiation Exposure	Radiation Exposure Report to individuals	DOE M 231.1-1A;	Annually, by March 31 st	DOE-WVDP	DOE-WVDP

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
	Report to Individuals		Section H.21		Safety and Site Programs Team Leader	Director Information
14.	DOE Annual Real Property Valuation	FIMS Database	DOE M 231.1-1A	Annually, by September 30 th	DOE-EMCBC Industrial Property Management Specialist	DOE-HQ Office of Property Management Information
15.	Occurrence Reporting Processing System (ORPS) Reports (electronic reporting to DOE system)	Operational events meeting reporting criteria.	DOE M 231.1-2	As required.	DOE-WVDP Facility Rep on Duty or as delegated	DOE-WVDP Facility Rep on Duty
16.	Reporting Associated with DOE Orders Regarding Inspector General and General Accountability Office Activities	Support reviews and follow-up on results of findings resulting from reviews.	DOE O 221.2	As requested.	COR or as delegated	COR Approval
HUMAN RESOURCES						
17.	Notification of Labor Disputes and All Relevant Information	Knowledge of potential or actual labor disputes that delay or threaten to delay timely performance of the contract.	FAR 52.222-1; Clause H.13	As required.	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
18.	Certified Payroll Report	Form WH-347	FAR 52.222-8	Weekly	DOE-EMCBC Industrial Relations	DOE-EMCBC Industrial Relations

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
					Specialist	Specialist and CO Information
19.	Affirmative Action Plan	Contractor must file an SF100 (EEO-1) in writing if one has not been filed within the past twelve months.	FAR 52.222-26; FAR 52.222-36	Within 30 days of contract award and updated annually, by January 1 st	DOE-EMCBC Office of Civil Rights and Diversity	DOE-EMCBC Office of Civil Rights and Diversity Review; CO Approval
20.	Affirmative Action Plan for Veterans	<u>Anti-discrimination plan for veterans</u>	FAR 52.222-35	Upon Award	DOE-EMCBC Office of Civil Rights and Diversity	DOE-EMCBC Office of Civil Rights and Diversity Review; CO Approval
21.	Employment Reports for Disabled Veterans and Veterans of the Vietnam Era	(Federal Contractor Veterans' Employment Report VETS-100)	FAR 52.222-37	Annually, by September 30 th	DOE-EMCBC Office of Civil Rights and Diversity	DOE-EMCBC Office of Civil Rights and Diversity and CO Information
22.	Submittal of Wage Rates under Davis-Bacon Act	Wage determinations based on classification of work	FAR 52.222-6	Upon contract award or when applicable	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
23.	Davis-Bacon Act Compliance Report	Compliance with Davis Bacon and Service Contract Act	29 CFR 5.7(b)	When applicable: Semi-annually, by April 30 th and October 31st	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
24.	Energy Employees Occupational Illness Compensation Program Act (EEOICPA)	To provide compensation and medical benefits to employees	EEOICPA Act of 2000; Section C.1.3.3	Monthly	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
25.	Organizational Conflicts of Interest Update	Allows verification that the contractor is not biased in their performance of the contract	DEAR 952.209-72	As Required	CO or as delegated	CO Information
26.	Key Personnel List	Essential personnel as identified by the Contractor	Clause H.8	Submitted with Proposal, updated as necessary	CO or as delegated	CO Approval
27.	Modifications to the Key Personnel List	Modifications to the Key personnel list including justification	Clause H.8	Prior to changes	CO or as delegated	CO Approval
28.	Actuarial Certification and Employer Certification	Annual actuarial certification as required by the IRS and the ERISA Act	ERISA Act	Annually, by June 30 th	CO or as delegated	CO Approval
29.	Human Resources Advance Understandings	DOE approval of contractor policies and procedures covering personnel costs and related expenses		Within 90 days of contract award	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
						Approval
30.	Contractor's Compensation System	Formal policies, practices and procedures to be used in the administration of its compensation system including a compensation system self-assessment plan.	FAR 31.205-6; DEAR 970.3102-05-6; Clause H.11	Upon contract award	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
31.	Determination of Cost Allowability for Compensation System	Until DOE has certified the Compensation System, the following are submitted: <ul style="list-style-type: none"> • Compensation System Self-Assessment data • Program Design Changes; • Compensation Increase Plan; • Individual Compensation Actions for Key Personnel; and • Any proposed Incentive Compensation Plan. 	FAR 31.205-6; DEAR 970.3102-05-6; Clause H.11	Pending DOE certification of Contractor's Compensation System	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
32.	Individual Compensation Actions for Key Personnel	Changes to compensation for Project Manager and other Key Personnel and other first tier report the afore mentioned positions.	Clause H.11	Following DOE certification of Contractor's Compensation System and as changes occur	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
33.	Self-Assessment of the Total Compensation Program	Report with respect to salary and benefits.	Clause H.11	Following DOE certification of Contractor's Compensation System and in accordance with the self-assessment plan	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
34.	Application for Contractor Compensation Approval (List of top 5 most highly compensated executives)	DOE F3220.5 for each of the five most highly compensated employees within the contractor's organization	DOE O 350.1; Clause H.11	At contract award and upon any change thereafter.	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
35.	Report of Compensation	Report of subtotal dollar amounts for exempt and nonexempt employees and individual compensation at \$100,000 and above	DOE O 350.1	Annual, Calendar Year basis	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
36.	Report on Frequency Distribution of Compensation	Included in Report of Compensation	DOE O 350.1	Annual, Calendar Year basis	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
37.	Report of Contractor Expenditures for Employee Supplementary	Breakdown of employee benefits compensation [submitted through the	DOE O 350.1; Clause H.11	Annual no later than March 15 th	DOE-EMCBC Industrial	DOE-EMCBC Industrial

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
	Compensation	Department Workforce Information System (WFIS), Compensation and Benefits Module]			Relations Specialist	Relations Specialist and CO Information
38.	Contractor Salary-Wage Increase Expenditure	Reports breakouts for merit, promotion variable pay, special adjustments & structure movements for each pay structure	DOE O 350.1; Clause H.11	Annual, Calendar Year basis	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
39.	Actuarial Valuation Reports	Report of annual actuarial valuation report for each DOE-reimbursed pension plan	DOE O 350.1	Within one day of the last day of the plan year	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
40.	All Forms in the 5300 Series	Part of tax return submitted to the IRS that documents the establishment, amendments, termination, spin-off, or merger of a plan	DOE O 350.1; Clause H.11	Within nine (9) months of the last day of the plan year	DOE-WVDP Budget Analyst	DOE-WVDP Budget Analyst Information
41.	Collective Bargaining Agreements	Agreements made between local collective bargaining units and the Contractor	DOE O 350.1; Clause H.13	Upon award and at negotiation of any new or extension to the Agreement.	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist, CO and HQ Information
42.	Report of Settlement	Provides the CO with a settlement summary	DOE O 350.1;	30 to 60 days after	DOE-EMCBC	DOE-EMCBC

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
		after formal ratification of a collective bargaining agreement	Clause H.13	formal ratification of settlement	Industrial Relations Specialist	Industrial Relations Specialist and CO Information
43.	Report of Contractor Employment	DOE F 3220.1	DOE O 350.1	Required quarterly	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
44.	DOE Contractor Employment Distribution by Program	Information provided to ensure continued availability of critical knowledge, skills and abilities required to perform the work and to ensure minimal impacts on programmatic activities as a result of any potential restructuring activities	DOE O 350.1	Required quarterly	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
45.	Standard Form 98 - "Notice of Intention to Make a Service Contract and Response to Notice"	For all subcontracts over \$2.5K subject to the Service Contract Act	DOE O 350.1	Prior to award of subcontract	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
46.	Work Force Restructuring Plan	Whenever a change of work force affecting 100 or more persons within a 12 month period	DOE O 350.1; Clause H.17; NDAA	Whenever a change of work force affecting 100 or more persons within a 12 month	DOE-EMCBC Industrial Relations Specialist	CO and DOE-HQ Office of Work Force

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
				period		Transition Approval
47.	Work Force Restructuring Implementation Report	Description of how workforce restructuring plan is being implemented.	DOE O 350.1; Clause H.17	When required	DOE-EMCBC Industrial Relations Specialist	CO and DOE-HQ Office of Work Force Transition Information
48.	Compensation Program Description (Human Resources Compensation Plan)	Program design including application of parent organization policies and procedures	DOE O 350.1; Clause H.11	Within 30 days of contract award	HCA or as delegated	HCA Approval
49.	Proposed Major Compensation and Benefit Plan Program Design Changes	Notification of changes to Compensation and Benefits Plan	DOE O 350.1; Clause H.11	As changes occur	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Special Review; CO Approval
50.	Annual Compensation Increase Plan	Notification of Employee Compensation Increases	DOE O 350.1; Clause H.11	Annually	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
51.	Annual Overtime Control Plan	When OT is expected to exceed the DOE medial percentage for previous year plus 2%	DOE O 350.1	Annually as necessary	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
						Review; CO Approval
52.	Semiannual Report on Overtime Use	If an overtime control plan is in place then reports are due semi annually on how well OT is being controlled by the plan	DOE O 350.1	Semiannually, by April 30 th and October 31 st	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
53.	Contractor Benefits Program	Contractor Benefits Program	DOE O 350.1; Clause H.11	Annually upon award	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
54.	Employee Benefits Value Study	Needed if Contract Benefit Program is based upon Value Study	DOE O 350.1; Clause H.11; DEAR 970.3102-05-6	Within 30 days of contract award, and updated every two years or prior to any benefit change	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
55.	Employee Benefits Cost Survey Comparison	Needed if Contract Benefit Program is based on Chamber of Commerce survey	DOE O 350.1; Clause H.11; DEAR 970.3102-05-6	Within 30 days of contract award and updated annually or prior to any benefit change.	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
56.	Copies of IRS Form 5500 with	Annual Return/Report of Employee Plan-	DOE O 350.1;	Within nine (9) months	DOE-EMCBC	DOE-EMCBC

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
	Schedules	Disclosure document for benefit plan participants	Clause H.11	of the last day of the plan date	Industrial Relations Specialist	Industrial Relations Specialist and CO Information
57.	Insurance Plan Experience Reports for Each Type of Liability	Reports to allow DOE to confirm that Contractor maintains commercial insurance or self-insurance programs required by law, regulation and the requirements of the contract	DOE O 350.1	Annually	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
58.	Workplace Substance Abuse Program	Written workplace substance abuse program including, but not limited to, education awareness programs on the hazards of using substances in the DOE workplace, supervisory training on their responsibilities with impaired employees, and Employee Assistance Program services.	DEAR 970.5223-3; DOE O 350.1	Within 60 days of award	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist Review; CO Approval
59.	Substance Abuse Program Results and Reports for Lower Tier Subcontractors	Separate reports on program results required for lower tier subcontractors where there are testing designated positions	DOE O 350.1	As required by CO	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
60.	Employee Assistance Program Implementation Plan	A plan for implementing a program of preventative services, education, short-term counseling, coordination with and referrals to	DOE O 350.1	Within 60 days of contract award	DOE-EMCBC Industrial Relations	DOE-EMCBC Industrial Relations

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
		outside agencies, and follow-up upon return to work			Specialist	Specialist Review; CO Approval
61.	Employee Assistance Program Services Reports	Provide information regarding the services available to employees and training available to supervisors and managers on identification of deteriorating job performance or judgment, or observation of unusual conduct, and appropriate handling and referral	DOE O 350.1	As required by CO	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
62.	Adverse EEO Impact Statement	Statement as to the adverse EEO impact resulted from involuntary separations	DOE O 350.1	As required	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
63.	Copies of All Insurance Policies or Insurance Arrangements	Current copies throughout the contract term	DOE O 350.1	As required	DOE-EMCBC Industrial Relations Specialist	DOE-EMCBC Industrial Relations Specialist and CO Information
WORK PROCESSES						
MANAGEMENT PROCESSES						

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
64.	Transition Plan	A plan describing those activities necessary to be prepared to assume full responsibility for the contract requirements and to ensure an orderly transfer of responsibilities and accountability.	Clause B.9	At award	DOE-WVDP Project Control and Integration Team Leader	COR Review; CO Approval
65.	Earned Value Management System Description (EVMSD)	A detailed description of the Contractor's plan to implement a project control system that reflects the project status relative to cost and schedule performance.	ANSI/EIA-748-1998; DOE O 413.3; Clause H.18	Within 60 days of award	DOE-WVDP Project Control and Integration Team Leader	COR Review; CO Approval
66.	Project Baseline Documents	Comprehensive plan to achieve scope, cost and schedule objectives consistent with contract award. Includes the following:	DOE O 413.3; Clause H.18	Within 60 days of award	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
		Project Execution Plan	DOE O 413.3; Clause H.18	Initially submitted with Project Baseline Documentation, then annually by August 15 th	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
		Resource Loaded Integrated Schedule	DOE O 413.3; Clause H.18	Submitted with Project Baseline Documentation	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
		WBS Dictionary	DOE O 413.3; Clause H.18	Submitted with Project Baseline Documentation	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
		Risk Management Plan	DOE O 413.3; Clause H.18	Initially submitted with proposal, then updated with Project Baseline Documentation, and annually by August 15 th	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
		Management, Planning and Control Policies and Procedures	DOE O 413.3; Clause H.18	Submitted with Project Baseline Documentation	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
		Waste Disposition Monthly Plan (by waste type, quantity and destination)	DOE O 413.3; Clause H.18	Initially submitted with Project Baseline Documentation, then updated monthly no later than 15 days after end of month.	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Information
		Staffing Analysis	Clause H.18	Within 60 days of contract award	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
67.	Phase 1 Decommissioning Work Plan	Implementation of demolition activities consistent with the Phase 1 Decommissioning Plan for the West Valley Demonstration Project and the Nuclear Regulatory Commission Technical Evaluation Report	Section C.6.0,	Within 60 days of contract award	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
68.	Monthly Progress Status Report	Project performance and financial status, cost and schedule variance by WBS and	DOE O 413.3; Clause H.18	Monthly, by the 15 th	DOE-WVDP Project Control	DOE-WVDP Director

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
		PBS, major milestone status, safety status, critical technical and program issues, and performance metrics status, etc.			and Integration Team Leader	Information
69.	Project Performance Report	Project performance and financial status, cost and schedule variance by WBS and PBS, major milestone status, safety status and critical technical and program issues, etc.	DOE O 413.3; Clause H.18	Monthly, by the 20 th	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Information
70.	GFS/I Request	Projection of additional GFS/I to be furnished under the contract. Considered part of the project baseline.	Clause H.16	Updated within 60 days of contract award and annually thereafter prior to each fiscal year	DOE-EMCBC Industrial Property Management Specialist	COR Review; CO Approval
71.	GFS/I Update	Projection of additional GFS/I to be furnished under the contract.	Clause H.16	Quarterly, as needed	DOE-EMCBC Industrial Property Management Specialist	COR Review; CO Approval
72.	Weekly Project Status Report	Project status and accomplishments.	COR direction	Weekly	COR or as delegated	COR and DOE-WVDP Director Information
73.	Non-Compliance Tracking System (NTS) Reports (electronic reporting to DOE system)	Submittal of detailed, draft reports of PAAA non-compliances to DOE-WVDP for concurrence prior to submittal into electronic system	10 CFR 820	As required	DOE-WVDP Safety and Site Programs Team Leader	COR and DOE-WVDP Director Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
74.	Quality Assurance Program Document and Implementation Plan	Contract transition activity	10 CFR 830; DOE/RW-0333P	Within 60 days after contract award	DOE-WVDP Quality Engineer	DOE-WVDP Director Approval
75.	Quality Assurance Plan Update	Annual submittal of QAP changes for DOE approval.	10 CFR 830	Annually by August 30 th	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
76.	PAAA Tracking System or Status Report	Local Compliance Tracking System (LCTS)	10 CFR 830; Clause H.22	As requested	COR or as delegated	COR Information
77.	Radiological Self-Assessment/Schedule	Triennial Report	10 CFR 835	Within 30 days of contract award; then updates as required	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Information
FACILITY AUTHORIZATION						
78.	Startup Notification Report	Nuclear facility startups or restarts	DOE O 425.1C	Quarterly, negative quarterly reports not required.	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
79.	Training Implementation Matrix	Nuclear facility training requirements	DOE O 426.2	Within 90 days of contract award; then updates as required.	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
80.	Conduct of Operations Matrix	Conduct of Operations requirements for DOE facilities	DOE O 5480.19	Within 60 days of contract award; then updated, as required	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
81.	ALARA Report	Track and trend site cumulative exposure	DOE-STD-1098-99, Part 3, Article 131	Annually, by September 30 th	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Information
82.	Documented Safety Analysis Update	Annual updated document for DOE approval or letter stating there have been no changes	10 CFR 830.202(c)(2)	Annual By last DOE approval date	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
83.	USQ Procedure	Contract transition activity.	10 CFR 830.203(a)(1)	Upon Contract Award	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
84.	USQ Program Summary Report	Annual summary of USQ process determinations performed since the prior submission	10 CFR 830.203(d)	Annual, Summary report must accompany DSA annual update submittals	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
85.	Fire Hazard Analysis	Comprehensive and qualitative assessment of risk from fire within individual fire areas in WVDP facilities	DOE O 420.1B Chg. 1	As required	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Information
86.	Main Plant Process Building Demolition Plan	A plan that describes in detail how the Main Plant Process Building can be demolished.	Section C.6.0	Once	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
87.	Vitrification Facility Demolition Plan	A plan that describes in detail how the Vitrification Facility can be demolished.	Section C.6.0	Once	DOE-WVDP Project Control	DOE-WVDP Director

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
					and Integration Team Leader	Approval
88.	Inspection and Maintenance Plan for Dam System	A plan that describes how the Contractor will operate and maintain the reservoir, emergency spillway, dams and all appurtenant structures in safe condition at all times	6 NYCRR Part 673, Dam Safety Regulations, Section 673.13; Section C.2.1	Within 12 months of contract award	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
89.	Recommendation for Stabilization of the Lagoon 3 Slope	Evaluation the stability of the Lagoon 3 slope for deep-seated slope failure and provide recommendation for stabilization and/or operations to ensure satisfactory service for 20+ years	Section C.6.8	Within 12 months of contract award	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
90.	Design to Armor and Protect the NDA North Slope	A design to stabilize the NDA North Slope that must be capable of withstanding one half the probable maximum precipitation event for the drainage of the NDA and SDA	Section C.8.0	Within 12 months of contract award	DOE-WVDP Project Control and Integration Team Leader	DOE-WVDP Director Approval
LIFE-CYCLE FACILITY						
91.	Preventive Maintenance Schedule and Custodial Plan	Plan identifying types of services, frequencies and levels at which facilities are to be maintained	DOE O 430.1; DOE O 433.1; Section C.2.0	Within 60 days of contract award	COR or as delegated	COR Review; CO Approval
92.	Annual Review of Utilization of Real Property	Life-cycle management of real property assets	DOE O 430.1B	Annually, by TBD	DOE-EMCBC Industrial Property Management Specialist	COR and CO Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
93.	Annual Real and Personal Property Inventory Report	Life-cycle management of real property assets	DOE O 430.1B	Annually, by TBD	DOE-EMCBC Industrial Property Management Specialist	COR and CO Information
94.	Description of Property, Plant and Equipment Accounting Procedures	Description of the Contractor's controls to ensure acquisition, use and retirement of property according to DOE requirements	DOE Accounting Handbook	Annually, by TBD	DOE-EMCBC Industrial Property Management Specialist	COR and CO Information
95.	Energy Consumption Report (electronic)	Provide plant energy data for Energy Management System (EMS) reports to President and Congress	DOE O 430.2B	Quarterly, no later than 15 days after end of quarter.	COR or as delegated	DOE-WVDP Director Information
96.	Waste Management Plan	A plan for characterizing, processing, packaging, and disposing of waste	DOE O 435.1; Section C.6.0	Annually, by TBD	DOE-WVDP FPD for Waste Management	DOE-WVDP FPD for Waste Management Information
97.	Nevada Test Site LLW and MLLW Preliminary Disposal Forecast	Estimated volume of LLW and MLLW to be treated/disposed at NTS in the next fiscal year	NTS Request	Annually, by July 1 st	DOE-WVDP FPD for Waste Management	DOE-WVDP FPD for Waste Management Information
98.	Nevada Test Site LLW and MLLW Final Disposal Forecast	Final estimated volume of LLW and MLLW to be treated/disposed at NTS in the current fiscal year	NTS Request	Annually, November 1 st	DOE-WVDP FPD for Waste Management	DOE-WVDP FPD for Waste Management

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
						Information
WORKER PROTECTION						
99.	Integrated Safety Management System Description	Contract transition activity	DEAR 970.5223-1 Section C.1.1 DOE M 450.4-1	Within 60 days of contract award (if existing DOE- approved ISM System is not adopted) and updated annually, as required	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
100.	Contractor Assurance System Program Description	Submit a detailed plan describing how the contractor will implement a formal Contractor Assurance Program to DOE-WVDP for approval.	Section C.1.1.1.3; DOE O 226.1A	Within 60 days of contract award (may be included in Safety Management System Description or Quality Assurance Program Document/Plan)	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
101.	Annual Review of ISMS	Review of the ISMS (Update of the ISMS Description document, and performance measures)	DEAR 970.5223-1; Section C.1.1; DOE O 226.1A	Annually, by August 30 th	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
102.	Annual Review of Contractor Assurance System	A Department-wide oversight process to protect the public, workers, environment, and national security assets	Section C.1.1.1.3; DOE O 226.1A	Annually, by August 30 th (may be included in Safety Management System Description or Quality Assurance	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
				Program Document/Plan)		
103.	Health and Safety Plans (HASPs)	Addresses the safety and health hazards of each phase of site operation and includes requirements and procedures for employee protection	29 CFR 1910.120	As required	DOE-WVDP Safety and Site Programs Team Leader; DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	DOE-WVDP Field Element Manager Review; CO Approval
104.	Employee Concerns Program Status Report	Submit reports which provide specific details on the numbers, type, status and disposition of employee concerns to DOE-WVDP for information	DOE O 442.1A	Quarterly, 1/15, 4/15, 7/15, 10/15	DOE-WVDP Safety and Site Programs Team Leader	COR Information
105.	Worker Safety and Health Program	Written worker safety and health plan that provides the methods for implementing the requirements of 10 CFR 851	10 CFR 851; Section C.1.1.1.2	Within 60 days of contract award	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Field Element Manager Review; CO Approval
106.	Worker Safety and Health Program Update	Updated Worker Safety and Health Program required whenever significant changes are made or a letter annually stating that no changes to the plan previously approved are necessary	10 CFR 851; Section C.1.1.1.2	Annually or whenever significant changes are made	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Field Element Manager Review; CO Approval
PROTECTION OF THE PUBLIC AND ENVIRONMENTAL						

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
107.	NEPA documentation	Support DOE preparation of environmental checklists, CXs, etc.	Section C.1.1.1.1; Clause H.31	As required to support activities	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
108.	NEPA Administrative Record	Compilation of documents that are relied on in the decision making process	Section C.1.3.3	As required to support activities	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
109.	NEPA Project Record	Includes all documentation in the NEPA Administrative Record with the exception of very large sets of materials (e.g., the complete set of EIS references)	Section C.1.3.3	As required to support activities	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
110.	Quarterly Public Meetings	Site issues and project status	Stipulation of Compromise; Section C.1.3.2; Section, C.1.3.3; Clause H.19	Quarterly	COR or as delegated	COR Information
111.	RCRA 3008(h) Administrative Order on Consent Quarterly Progress Report	Summary of progress made by the WVDP on RCRA 3008(h) Consent Order activities	RCRA 3008(h) Consent Order Section VI.5(f); Section C.1.1.1.1;	Quarterly, by 2/15, 5/15, 8/15, 11/15 ¹	DOE-WVDP Regulatory Strategy and Environmental	COR Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
			Clause H.31		Compliance Team Leader	
112.	Sampling and Analysis Plans (SAP)	Documents the procedural and analytical requirements for sampling events and includes elements such as methodology, equipment, procedures, locations, decontamination procedures, sample containers and preservation, and data quality objectives.	NYSDEC Regulation; Clause H.31	As required to support activities ²	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
113.	Hazardous Waste Reports	Report of hazardous waste management activities for the preceding year.	NYSDEC Regulation; Clause H.31	Annually, by March 1 ²	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
114.	Fiscal Year Pollution Prevention Accomplishments Report	Reports reductions in generation of hazardous waste	DOE M 435.1-1	Annually by November 30 (via internet) ¹	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
115.	Report on Waste Generation and Pollution Prevention Progress	Annual Progress Reports on Implementation of Environmental Provisions of Executive Order 13423, <i>Strengthening Federal Environmental, Energy, and Transportation Management</i>	DOE M 435.1-1	Annually by November 30 th (via Internet) ¹	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
116.	Comprehensive Spill Prevention Response Plan	Response plan to address releases to the soil of all hazardous substances including fuel oil.	NYSDEC Regulation; Clause H.31	Annually, by June 1st	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Review; CO Approval
117.	Spill Reporting	Reporting of spills as specified per time frames specified in federal, state, local law/regulation	Federal, state, and local law/regulations	upon occurrence/ identification of spills	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
118.	Annual Treatability Study Report	Report discussing treatability studies performed for the previous year and/or planned for the current year	6NYCRR Section 371.1 (e)(4)(v)(i)	Provided to NYSDEC annually, by March 15, should be to DOE 2 weeks prior so add footnote 1.	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
119.	RCRA Corrective Measures Studies	Identify, develop, and evaluate potential remedial alternatives for removal, containment, and/or treatment of contamination pursuant to the 3008(h) Consent Order.	NYSDEC Regulation; Clause H.31; Section C.1.1.1.1	As required by regulator pursuant to 3008(h) Consent Order ²	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
120.	RCRA Section 3016	Hazardous waste management activities for the preceding 2 years.	NYSDEC Regulation; Clause H.31	Every 2 years – beginning December 30 th 2005 ¹	DOE-WVDP Regulatory Strategy and	COR Information

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
					Environmental Compliance Team Leader	
121.	Site Treatment Plan Update	Plan for treatment, storage, and disposal of mixed waste	FFCA Consent Order; Clause H.31	Annually, by February 15th ²	DOE-WVDP FPD for Waste Management	COR Information
122.	NESHAPS Report	Total quantity of radiological emission. Includes CAP 88-PC Dose Assessment	40 CFR 61 Subpart H; Clause H.31	Annually, by June 30th ²	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
123.	Annual Site Environmental Report (ASER) (DOE-HQ guidance is provided annually for report preparation)	Characterize environmental management performance of site for the CY	DOE M 231.1-1A	Annually, by October 1st ³	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
124.	RCRA Part B Application modifications	Modifications to RCRA Part B Application as submitted to NYSDEC	NYCRR 373-1; Clause H.31; Section C.1.1.1.1	As required. ⁴	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
125.	Groundwater Protection Plan (include as part of the ASER) Note: All groundwater monitoring	Summary of the program including the number of wells, sampling method, frequency, analysis performed and results.	DOE O 5400.5; DOE O 450.1A; Clause H.31;	Annually, by October 1 st ³	DOE-WVDP Regulatory Strategy and	COR Information

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
	(not only radiological) is to be included as part of the ASER.	Summarize the hydrogeology of the site, major aquifers, groundwater movement, potential sources of pollution and uses of ground water in the vicinity of the site. Include a map of active and abandoned well locations.	Section C.1.1.1.1		Environmental Compliance Team Leader	
126.	Stormwater Pollution Prevention Plan (Review current plan and submit update as necessary)	Satisfies a requirement of the facility's SPDES Permit NY-0000973 for discharges into combined storm water outfalls	SPDES Permit; Clause H.31	Annually, by February 15th	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
127.	Regulator Reports	Various RCRA, CAA, SDWA, and CWA reports	Section C, Attachment C-6; Clause H.31	As required ²	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
128.	Environmental Monitoring Plan (including all groundwater monitoring)	Environmental monitoring and surveillance plan	Section C.1.1.1.1; DOE 450.1A; Clause H.31	Every 3 years; and an Annual update by December 31 st	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
129.	State Pollutant Discharge Elimination System (SPDES) Discharge Monitoring Reports	Monitoring of discharges to river including sampling, analysis, data management and reporting	NYSDEC Regulation; SPDES permit; Clause H.31	28 th of each month ⁵	DOE-WVDP Regulatory Strategy and	COR Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
					Environmental Compliance Team Leader	
130.	Drinking Water Analysis	Monitoring of drinking water	NYSDOH; Clause H.31	As required	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
131.	SARA Title III- 312	Hazardous chemical inventory data and locations for state and local emergency response organizations	NYSDEC Regulation; Clause H.31	Annually, by March 1 st 2	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
132.	SARA Title III - 313	Toxic chemical release inventory	NYSDEC Regulation; Clause H.31	Annually, by July 1 st 2	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
133.	Monthly Drinking Water Report	Report to Cattaraugus County Health Department on drinking water monitoring	NYSDOH; Clause H.31	10 th of each month ⁵	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
134.	Pre-discharge Radiological Analysis of Lagoons	Report to NYSDEC prior to lagoon discharge	NYSDEC Regulation; SPDES Permit; Clause H.31	Results faxed to NYSDEC no less than 1 day prior to Lagoon discharge (DOE-WVDP review is required prior to sending information to NYSDEC)	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
135.	Compliance Certification for the Sulfur Dioxide Emission Standard for the Boilers at the WVDP	Report to NYSDEC	6 NYCRR Pt 201-4; Air Facility Registration Certificate 9-0422-00005/00009; Clause H.31	Twice Annually, by January 31 st & July 31 st ¹	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
136.	Sustainability Plan (formerly Executable Plan)	Report on Departmental Energy, Renewable Energy & Transportation Management	DOE O 430.2B & EO 13423; Clause H.31	Annually by December 31 ²	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
137.	Reports to support EO 13423 & 13514	EO 13423 sets numerous Federal energy and environmental management requirements in several areas, including but not limited to: Implementing Instructions, Reducing Energy Intensity, Increasing Use of Renewable Energy, Reducing Water Intensity, Designing and Operating Sustainable Buildings, and Managing	DOE O 450.1A; DOE O 430.2B; EO 13423; EO 13514; Clause H.31	As required ²	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information

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	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
		Federal Fleets EO 13514, establishes an integrated strategy towards sustainability in the federal government and to make reduction of greenhouse gas (GHG) emissions a priority for federal agencies.				
138.	Excluded Building Self-Certification	In support of EO 13423	EO13423; DOE O 430.2B ; Clause H.31	Annually by February 15 ¹	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
139.	Environmental Monitoring Program Effluent Summary & Trend Analysis Report	Provides analysis of noteworthy results of radiological analyses for all EMP monitoring points in accordance with EM-11, "Documentation and Reporting of Environmental Data" and DOE/EH-0173T, "Environmental Regulatory Guide for Radiological Effluent Monitoring and Environmental Surveillance."	DOE O 450.1A; RCRA 3008(h) Order on Consent; Clause H.31	Quarterly, by April 30, July 30, October 30, and January 31	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
140.	Groundwater Trend Analysis Report	This report evaluates the results of sampling and analysis of on-site groundwater at the WVDP and discusses other matters relevant to the Groundwater Monitoring Program.	DOE O 450.1A; RCRA 3008(h) Order on Consent; Clause H.31	Quarterly, by March 31, June 30, September 30, and December 31 (The monitoring year runs from December 1 through November 30)	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
				of the following year)		
141.	Exception Report for RCRA Groundwater Monitoring Results	Report to NYSDEC	DOE O 450.1A; RCRA 3008(h) Order on Consent; Clause H.31	Quarterly, following each routine groundwater sampling event ¹	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
142.	Permit/License Applications and/or Renewal Applications	Various RCRA, CAA, SDWA, and CWA	Section C, Attachment C-6; Clause H.31	As required ³	DOE-WVDP Regulatory Strategy and Environmental Compliance Team Leader	COR Information
143.	Radiation Safety Program Description	Ensures that radiation exposures to the Contractor's workers and the public and releases of radioactivity to the environment	10 CFR 835; Section C.1.1.1.2	Within 30 days of contract award	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
144.	Updated Radiation Safety Program	Annual submittal of revisions to Radiation Safety Program	10 CFR 835	Annually	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
145.	Nuclear Safety Program Description	Ensures that all nuclear facilities are maintained and operated within the DOE approved safety basis	10 CFR 830; Section C.1.1.1.2	Within 30 days of contract award	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
146.	Issues Management System	Identification, assignment of significance category, and processing of nuclear safety-related issues identified within the Contractor's organization	10 CFR 830; DOE/RW-0333P; Section C.1.1.1.3	Within 90 days of contract award	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
147.	Criticality Safety Program Description	Ensures that operations with fissionable material remain subcritical under all normal and credible abnormal conditions	10 CFR 830.204(b)(6); Section C.1.1.1.2	Within 30 days of contract award	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Director Approval
SAFEGUARDS AND SECURITY						
148.	Cyber Security Program Plan	Computer protection program plan	DEAR 952.204-77; DOE O 205.1; DOE N 205.3; Section C.10.1	Annually, by September 30 th	DOE-WVDP Safety and Site Programs Team Leader	DOE-EMCBC Authorizing Official
149.	Cyber Security Self Assessment	Submit a cyber security annual assessment, which documents contractor compliance with the requirements of DOE Order 205.1, to DOE-WVDP for approval.	DOE O 205.1	Annually, by September 30 th	DOE-WVDP Safety and Site Programs Team Leader	COR Information
150.	Cyber Security Peer Review	Submit a cyber security annual peer review for information	DOE O 205.1	September 30, 2011	DOE-WVDP Safety and Site Programs Team Leader	COR Information
151.	Computer Security Incident Reports	To document incidents involving the compromise to classified or sensitive unclassified data on computer systems	DOE N 205.4	Per Occurrence	DOE-WVDP Safety and Site Programs Team Leader	COR Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
152.	Government Property Missing, Lost, Damaged or Theft Report	Reports incidents of any loss of Government Property	41 CFR 109-1.5112; 41 CFR 109-1.5113	Monthly E-Mail - within 5 working days following end of month	DOE-EMCBC Industrial Property Management Specialist	CO Information
153.	Site Security Plan	To describe the physical protection program in place.	DOE M 470.4-1	Within 60 days of award and annually by September 30 th	COR or as delegated	COR Information
154.	FOCI Self-Assessment Certification	Annual FOCI Status	DOE O 470.1	Annually, by September 30 th	COR or as delegated	COR Information
155.	5 Year FOCI Certification	Certification	DOE O 470.1	5 Years from last certification	COR or as delegated	COR Information
156.	Protective Force Training Plan	Identify required annual and remedial/refresher training for the next Fiscal Year	DOE M 470.4-3	Annually, by January 1 st	COR or as delegated	COR Information
157.	Protective Force Strength/Cost Report	Headcount and expenditures for protective force	NN513.1/DOE-HQ Letter dated 06/20/97	Quarterly - 10 working days following each FY quarter	COR or as delegated	COR Information
158.	Aviation Management Plan	Submission of quarterly report of flight hours, cost and other relevant data	DOE O 440.2B	Quarterly when applicable	COR or as delegated	COR Information
159.	Contractor guidelines for identifying UCNI	Internal guidelines used by the contractor to identify UCNI	DOE M 471.1-1	Within 60 days of contract award	COR or as delegated	COR Information
BUSINESS AND SUPPORT SERVICES						

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
160.	Balanced Score Card Reporting	Monitors organization performance against goals	DOE-HQ Requirement	As requested, 12/1	CO or as delegated	CO Information
161.	Balanced Score Card Plan	Plan to measure organizational performance against strategic goals	DOE-HQ Requirement	Annual, 9/15 (HQ issues Data Call and date may vary)	CO or as delegated	CO Information
162.	DOE HQ Clearance Review Plan	Provides a list of the contracts over the CO authority level	DOE-HQ Requirement	As requested, 9/15 (HQ issues Data Call and date may vary)	CO or as delegated	CO Information
163.	Annual Forecasting of Subcontracting Opportunities	Provides a list of the possible opportunities for Small Businesses	DOE-HQ Requirement	As requested, 9/15 (HQ issues Data Call and date may vary)	CO or as delegated	CO Information
164.	Awards to Private Sector	Provides list of all awards made to Private Sector including the dollar value of the award, a description of the item purchased, and the size status and category (e.g., woman-owned, HUBZone, small disadvantaged, etc.) of each company	DOE-HQ Requirement	Annual, 10/15 (HQ issues Data Call and date may vary)	CO or as delegated	CO Information
165.	Budget Status Reports	Includes variance analyses, revised forecasts, and funding impacts	Section C.1.3.1	As requested	DOE-WVDP Budget Analyst	DOE-WVDP Budget Analyst, COR and CO Information
166.	Cost Accounting Standard Disclosure Statement	Contractor disclosure statement for allocation of indirect costs	FAR 52.230-2	Upon contract award. Written notice is required within 60 days of any change.	CO or as delegated	CO Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
167.	Material Safety Data Sheets	Written or printed material concerning a hazardous chemical	29CFR1910.1200	Prior to use of hazardous material	DOE-WVDP Safety and Site Programs Team Leader	COR Information
168.	Motor Vehicle Annual Forecast	Provide past GSA Fleet use and project future GSA Fleet needs	41 CFR 109-38.1306	Annually, by TBD	DOE-EMCBC Industrial Property Management Specialist	COR Review; CO Approval
169.	Agency Motor Vehicle Data Report Combined with Report of Truck Data [Federal Motor Vehicle Fleet Report; SF-82]	Provide current GSA and Agency owned fleet makeup and charges	41 CFR 102-34.345, 102-34.355 and 109-38.903-50	Annually, by November 15th	DOE-EMCBC Industrial Property Management Specialist	CO Information
170.	Program for the Acquisition, Maintenance and Operation of Motor Vehicles and Equipment	Maintain program to ensure compliance with all applicable regulations, state and local laws, and property management requirements	Section C.1.3.3	Upon contract award	DOE-EMCBC Industrial Property Management Specialist	CO Information
171.	Reconcile Stores Inventory IAW Department Objectives	Reconcile Stores Inventory IAW Department Objectives	41 CFR 109-1.5110(f)(3)	Annually, by September 30 th	DOE-EMCBC Industrial Property Management Specialist	CO and HQ Information
172.	Annual Excess Personal Property Furnished to Non-Federal Recipients	Details non-federal recipients of excess property	41 CFR Part 101-43.4701, Part 109-43.4701	Annually, by September 30 th	DOE-EMCBC Industrial Property	DOE-WVDP Budget/ Finance, CO

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
					Management Specialist	and DOE-HQ Office of Property Management Information
173.	Negotiated Sales Report	Provides detailed breakdown of negotiated property sales	41 CFR 101-45.4701; 41 CFR 109-45.4702	Annually, by September 30 th	DOE-EMCBC Industrial Property Management Specialist	DOE-HQ Office of Property Management Information
174.	Summary of DOE-Owned Plant and Capital Equipment	Accounting of all DOE-owned P&CE purchased by or furnished to the contractor	Federal Accounting Standards Advisory Board FSSAB letter No. 6	Annually, by September 30 th	DOE-EMCBC Industrial Property Management Specialist	DOE-HQ Office of Property Management Information
175.	Property Information Database (PIDS)	Volume & original acquisition cost of govt. owned equipment for: Sensitive; \$5K - \$25K; and > \$25K	FAR 45; 41 CFR 109; DEAR 945.102-70	Annually, by September 30 th	DOE-EMCBC Industrial Property Management Specialist	DOE-HQ Office of Property Management Information
176.	Personal Property Deferred Maintenance Closure Form	Measurement of Potential Deferred Maintenance on Personal Property	Federal Financial Accounting Standard No.6	Annually, by September 30 th	DOE-EMCBC Industrial Property Management Specialist	CO Information
177.	Financial Disclosure of Revenue Activities (Revenue Foregone	Report goods and services that are sold to the public or other entities and also in the	Statement of Federal Financial Accounting,	Annually, by October 30 th	DOE-EMCBC Industrial	DOE-WVDP Finance, CO

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
	Report)	amount of revenues foregone resulting from these transactions	Standard No. 7		Property Management Specialist	and DOE-HQ Office of Property Management Information
178.	Affirmative Procurement Report	Report on procurement programs maximizing environmental benefits	Executive Order 13101	Annually, by October 15 th	CO or as delegated	CO Information
179.	Personal Property Management System	Comprehensive property management system	DEAR 970.5245-1; Section C.1.3.3	Within 60 days of contract award	DOE-EMCBC Industrial Property Management Specialist	DOE-EMCBC Property Management Specialist Review; CO Approval
180.	Procurement System	Comprehensive purchasing system	DEAR 970.5244-1	Upon contract award	CO or as delegated	CO Approval
181.	Legal Management Plan	Plan to manage legal costs and matters.	10 CFR 719.12; Section H.33	Within 60 days of contract award	CO or as delegated	CO Approval
182.	Annual activity report for internal audit	Annual internal audit report of allowable costs.	DEAR 970.5203-1	Annual, by July 15 th	CO or as delegated	CO Information
183.	Small Business and Small Disadvantaged Business Subcontracting Plan	Establishes dollars planned for award to Small, Small- Disadvantaged and Small Women-owned businesses	FAR 52.219-9	Submitted with proposal and incorporated in contract at time of award. Revisions required for \$500K+ increase to the	CO or as delegated	CO Approval

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
				total price of the contract.		
184.	List of Subcontractors (applicable to work subject to the Davis- Bacon Act)	Statement and Acknowledgement Form (SF-1413)	FAR 52.222-11	Within 14 days of contract award.	CO or as delegated	CO Information
185.	Subcontracting Report for Individual Contracts	Subcontracting Report for Individual Contracts (SF-294)	FAR 52.219-9	Semi-annually and at contract completion.	CO or as delegated	CO Information
186.	Summary Subcontract Report	Summary Subcontractor Report (SF-295)	FAR 52.219-9	Annually, at close of each Fiscal Year	CO or as delegated	CO Information
187.	Travel Cost Summary	Quarterly Summary of all Travel Related Costs	DOE-HQ Requirement	Quarterly, by Dec, Mar, Jun, and Sep	CO or as delegated	DOE-WVDP Budget/ Finance and CO Information
188.	Purchase Card/Travel Card Report	Purchase Card/Travel Card Report	DOE-HQ Requirement	As requested	CO or as delegated	DOE-WVDP Budget/ Finance and CO Information
189.	Performance Metrics	TRU waste disposition; legacy waste disposition (include in Monthly Progress Status Report)	DOE-HQ Requirement	Monthly, by the 15 th of each month	COR or as delegated	COR Information
190.	Federal Managers Financial Integrity Act Report	Report of assurances on the status of internal controls	Federal Managers Financial Integrity Act	Annually, by July 15 th	CO or as delegated	DOE-WVDP Finance and CO Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
191.	Parent Organization Support Plan	Details anticipated parent organization support to the prime contractor, major subcontractors, and/or teaming partners in the performance of the contract	Clause H.55	30 days prior to the: (1) the end of the Contract Transition Period; or (2) the commencement date of parent organization support proposed by the contractor	CO or as delegated	CO Approval
192.	Parent Organization Support Plan Update	Annual submittal of Parent Organization Support Plan	Clause H.55	Annually, 90 days prior to the start of each year of contract performance	CO or as delegated	CO Approval
193.	Records Management Plan	Describes how the contractor will manage all life-cycle phases of Government-owned records and provides a clear delineation between Government-owned and contractor-owned records	36 CFR Chapter 12; Section C.1.3.3.3	Within 60 days of contract award	DOE-EMCBC RMFO	DOE-EMCBC RMFO Review; CO Approval
194.	Records File Plan	Comprehensive outline that includes specific instructions that provide guidance for the effective management of records	Section C.1.3.3	Within 6 months of contract award	DOE-EMCBC RMFO	DOE-EMCBC RMFO Review; CO Approval
195.	Records File Plan Update	Annual submittal of revisions to Records File Plan	Section C.1.3.3	Annually	DOE-EMCBC RMFO	DOE-EMCBC RMFO Review; CO Approval
196.	Records Disposition Plan	Documents the contractor's disposition process for records including the processing	Section C.1.3.3	Within 6 months of contract award	DOE-EMCBC RMFO	DOE-EMCBC RMFO

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required
		of records to storage and the destruction process				Review; CO Approval
197.	Records Disposition Plan Update	Documents major changes to the Records Management Disposition Processes	Section C.1.3.3	Revisions shall be submitted prior to implementation	DOE-EMCBC RMFO	DOE-EMCBC RMFO Review; CO Approval
198.	Privacy Act List of Systems of Records	Shall note the design, development, or operation work that will be performed and the responsibility for each system	DEAR 970-5204-3; FAR 52.224-2; DOE O 206.1; Section C.1.3.3	Within 90 days of contract award	DOE Privacy Act Officer	DOE-EMCBC RMFO and DOE Privacy Act Officer Review; CO Approval
CONTRACT COMPLETION DELIVERABLES						
199.	Termination Inventories	Physical Inventory for disposal purposes of all Government Property.	DEAR 952.245-5; FAR 52.245-5; FAR 45.508-1; FAR 45.508-2;	Upon termination or completion of the contract as prescribed by the contracting officer	DOE-EMCBC Industrial Property Management Specialist	CO Information
200.	Estimate of Percentage of Recovered Material Content	For EPA designated products. Estimate of percentage of the total recovered material used in contract performance.	FAR 52.223-9	Upon termination or completion of the contract as prescribed by the contracting officer	CO or as delegated	CO Information
201.	Contract Close-out Plan	A plan including all remaining administrative matters necessary to close out the Contract.	Clause H.58	60 days prior to the end of the Contract period of performance.	CO or as delegated	CO Information

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS DELIVERABLES

	Report	Description	Driver	Frequency	DOE contact/phone*	Approval Required	
202.	Turnover Package	All records to be provided to DOE at the completion of the Contract	Section C.1.3.3, Records Management; Section C, Attachment C-5	Upon termination or completion of the contract as prescribed by the CO	DOE-EMCBC RMFO	DOE-EMCBC RMFO Review; CO Approval	
203.	Integrated Safety Management System Declaration	Summary of results of the annual effectiveness review and status of ISMS and QA within the Contractor's organization	DEAR 970.5223-1 DOE M 450.4-1	Annually by September 30	DOE-WVDP Safety and Site Programs Team Leader	DOE-WVDP Field Element Manager Review; CO Approval	
204.	Phone and Email Updates List	Monthly listing of changes to employee phone and email contact information		Monthly	DOE-WVDP Safety and Site Programs Team Leader	CO Information	

¹ The dates listed in the Frequency column are due dates to regulatory agencies. This deliverable shall be issued to DOE-WVDP for review no less than 2 weeks prior to the regulatory agency due date.

² The dates listed in the Frequency column are due dates to regulatory agencies. This deliverable shall be issued to DOE-WVDP no less than 4 weeks prior to allow for adequate review.

³ The dates listed in the Frequency column are due dates to regulatory agencies. This deliverable shall be issued to DOE-WVDP no less than 6 weeks prior to allow for adequate review.

⁴ This deliverable shall be issued to DOE-WVDP no less than 4 months prior to regulatory due date to allow for adequate review.

⁵ The dates listed in the Frequency column are due dates to regulatory agencies. This deliverable shall be issued to DOE-WVDP no less than 1 week prior to allow for adequate review.

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

**ATTACHMENT J-4 – DAVIS-BACON WAGE GENERAL DECISION NUMBER
NY100008**

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

**ATTACHMENT J-5 – SERVICE CONTRACT ACT WAGE DETERMINATION NO.
2005-2371**

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

ATTACHMENT J-6 – SMALL BUSINESS SUBCONTRACTING PLAN

Note: The Contractor's executed Small Business Subcontracting Plan will be inserted here. See Solicitation Provision L.3 and Section I FAR Clause 52.219-9, Alternate II, entitled "Small Business Subcontracting Plan."

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

ATTACHMENT J-7 – SMALL DISADVANTAGED BUSINESS (SDB) PARTICIPATION PROGRAM TARGETS FORM

Note: The Contractor's executed Small Disadvantaged Business (SDB) Participation Program Targets Form will be inserted here upon award. See Solicitation Provision L.3 and Attachment L.12.

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

ATTACHMENT J-8 – ACRONYM LIST

The following acronyms are used in this Contract:

A

A&PC	Analytical and Process Chemistry
ACWP	Actual Cost of Work Performed
ADA	Analytical Decon Aisle
ADPE	Automatic Data Processing Equipment
ANA	Analytical Aisle
ANC	Analytical Cell
ANSI/EIA	American National Standards Institute/Electronic Industries Alliance
ARC	Acid Recovery Cell
ARPR	Acid Recovery Pump Room
ASAP	Automated Standard Application for Payment

B

BCWP	Budgeted Cost of Work Performed
BCWS	Budgeted Cost of Work Scheduled
BMMS	Bridge Mounted Manipulator System
BOSF	Balance of Site Facilities

C

CA	Contamination Area
CAIRS	Computerized Accident/Incident Reporting System
CAIS	Condition Assessment Information System
CCR	Chemical Process Cell (CPC) Crane Room
CDDL	Construction and Demolition Debris Landfill
CFR	Code of Federal Regulations
CH	Contact-Handled
CH-TRU	Contact-Handled Transuranic
CO	Contracting Officer
COA	Chemical Operating Aisle
COMSEC	Computer Security
COR	Contracting Officer's Representative
CPC	Chemical Process Cell
CPAF	Cost Plus Award Fee
CSRF	Contact Size Reduction Facility
CVA	Chemical Viewing Aisle
CWA	<i>Clean Water Act</i>

D

DAC	Derived Air Concentration
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SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

DCAA	Defense Contract Audit Agency
DEAR	Department of Energy Acquisition Regulation
DD&R	Decontamination, Deactivation and Removal
DOE	U.S. Department of Energy
DOE-HQ	Department of Energy Headquarters
DOE-OH	Department of Energy Ohio Field Office
DOE/RW	Department of Energy Office of Civilian Radioactive Waste Management
DOG	Dissolver Off-Gas
DOL	U.S. Department of Labor

E

EAC	Estimates-at-Completion
EDR	Equipment Decontamination Room
EEOICPA	<i>Energy Employees Occupational Injury Compensation Program Act of 2000</i>
EH	U.S. Department of Energy Office of Environment, Safety and Health
EIS	Environmental Impact Statement
EMCBC	Environmental Management Consolidated Business Center
EMOA	East Mechanical Operating Aisle
EPA	U.S. Environmental Protection Agency
ERISA	<i>Employee Retirement Income Security Act of 1974</i>
ES&H	Environment, Safety and Health
ESA	Extraction Sample Aisle
ETC	Estimates-to-Complete

F

FACTS	Foreign Access Central Tracking System
FAR	Federal Acquisition Regulation
FDO	Fee Determination Official
FFCA	Federal Facility Compliance Act
FIMS	Facility Information Management System
FPH	Fire Pump House
FR	Facility Representative
FRS	Fuel Receiving and Storage
FY	Fiscal Year

G

GAO	Government Accountability Office
GCR	General Purpose Cell (GPC) Crane Room
GCRE	General Purpose Cell (CPC) Crane Room Enclosure
GFS/I	Government Furnished Services and Items
GOA	General Purpose Cell (GPC) Operating Aisle
GPC	General Purpose Cell

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

GTCC Greater Than Class C

H

HCA High Contamination Area
HEPA High Efficiency Particulate Air
HIC High Integrity Container
HLW High Level Waste
HLWISF High Level Waste Interim Storage Facility
HRA High Radiation Area
HVAC Heating, Ventilation and Air Conditioning
HW Hazardous Waste

I

I&C Instrument and Control
IG Inspector General
IPABS Integrated Planning, Accountability, and Budgeting System
IRC Internal Revenue Code
IRTS Integrated Radwaste Treatment System
ISM Integrated Safety Management
ISMS Integrated Safety Management System
IWSF Interim Waste Storage Facility

L

LOC Letter of Credit
LI/LO Load In/Load Out
LLW Low-Level Waste
LLW2 Low-Level Waste Treatment Building
LLWTF Low-Level Waste Treatment Facility
LOF Life of Facility
LSA Lag Storage Area
LSB Lag Storage Building
LWA Lower Warm Aisle
LWTS Liquid Waste Treatment System

M

MARSSIM Multi-Agency Radiation Survey and Site Investigation Manual
MC Miniature Cell
MLLW Mixed Low-Level Waste
MPPB Main Plant Process Building
MRR Manipulator Repair Room
MSM Master Slave Manipulator
MTRU Mixed Transuranic

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

N

NAA	North Analytical Aisle
NDA	Nuclear Regulatory Commission (NRC) Licensed Disposal Area
NEPA	<i>National Environmental Policy Act of 1969</i>
NESHAP	National Emissions Standards for Hazardous Air Pollutants
NFA	No Further Action
NFS	Nuclear Fuel Services
NOA	North Operating Aisle
NRC	Nuclear Regulatory Commission
NTS	Noncompliance Tracking System
NYCRR	New York Codes, Rules and Regulations
NYS	New York State
NYSDEC	New York State Department of Environmental Conservation
NYSERDA	New York State Energy Research and Development Authority

O

OGA	Off-Gas and Acid Recovery Aisle
OGOA	Off-Gas Operating Aisle
OGT	Off-Gas Treatment Cell
ORPS	Occurrence Reporting and Processing System
OSHA	Occupational Safety and Health Administration

P

PAAA	<i>Price Anderson Amendments Act</i>
PBI	Performance Based Incentive
PBS	Project Baseline Summary
PCR	Process Chemical Room
PMC	Process Mechanical Cell
PMCR	Process Mechanical Cell (PMC) Crane Room
PPC	Product Purification Cell
PPH	Product Packaging and Handling
PPS	Product Packaging and Shipping
PRB	Post Retirement Benefits
PSC	Process Sample Cell
PWS	Performance Work Statement

R

RA	Radiation Area
RBA	Radiological Buffer Area
RCRA	<i>Resource, Conservation and Recovery Act of 1976</i>
RER	Ram Equipment Room

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

RFI	RCRA Facility Investigation
RFP	Request for Proposal
RH	Remote-Handled
RH-TRU	Remote-Handled Transuranic
RHWF	Remote Handled Waste Facility
RIC	Reinforced Concrete
RMA	Radioactive Material Area
RMP	Risk Management Plan
ROD	Record of Decision
RTS	Radwaste Treatment System
RV	Relative Value

S

SDA	State Disposal Area
SRR	Scrap Removal Room
SS	Stainless Steel
SST	Solvent Storage Terrace
SWMU	Solid Waste Management Unit

T

TEC	Total Estimated Cost
TPC	Total Project Cost
TRU	Transuranic

U

UCNI	Unclassified Controlled Nuclear Information
UPC	Uranium Product Cell
UR	Utility Room
UWA	Upper Warm Aisle
UXA	Upper Extraction Aisle

V

VHRA	Very High Radiation Area
VEC	Ventilation Exhaust Cell
VTF	Vitrification Test Facility
VOG	Vessel Off-Gas
VSR	Ventilation Supply Room

W

WBS	Work Breakdown Structure
WFIS	Work Force Information System
WIR	Waste Incidental to Reprocessing

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

WMA	Waste Management Area
WMOA	West Mechanical Operating Aisle
WTF	Waste Tank Farm
WVDP	West Valley Demonstration Project
WWTF	Waste Water Treatment Facility

X

XC	Extraction Cell
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SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

ATTACHMENT J-9 – PERFORMANCE GUARANTEE AGREEMENT

Note: The Contractor's executed Attachment L-13 Performance Guarantee Agreement will be inserted here upon contract award.

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

ATTACHMENT J-10 – AGREEMENT BETWEEN WEST VALLEY ENVIRONMENTAL SERVICES LLC AND THE INTERNATIONAL ASSOCIATION OF MACHINISTS AND AEROSPACE WORKERS

SECTION J – LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

ATTACHMENT J-11 – CONTRACT CLOSE-OUT PLAN

Note: *The Contractor's executed Contract Close-Out Plan will be inserted here after contract award.*